

**This Page Is Inserted by IFW Operations  
and is not a part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- **BLACK BORDERS**
- **TEXT CUT OFF AT TOP, BOTTOM OR SIDES**
- **FADED TEXT**
- **ILLEGIBLE TEXT**
- **SKEWED/SLANTED IMAGES**
- **COLORED PHOTOS**
- **BLACK OR VERY BLACK AND WHITE DARK PHOTOS**
- **GRAY SCALE DOCUMENTS**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



⑯ Europäisches Patentamt  
European Patent Office  
Office européen des brevets



⑮ Publication number:

0 380 666 B1

⑯

## EUROPEAN PATENT SPECIFICATION

⑯ Date of publication of patent specification: 22.12.93 ⑮ Int. Cl. 5: A61L 31/00

⑯ Application number: 88908351.5

⑯ Date of filing: 22.09.88

⑯ International application number:  
PCT/JP88/00960

⑯ International publication number:  
WO 89/02755 (06.04.89 89/08)

### ⑯ TOOL FOR SECURING INNER DIAMETER OF INNER CAVITY OF TUBULAR ORGAN.

⑯ Priority: 24.09.87 JP 237510/87

⑯ Date of publication of application:  
08.08.90 Bulletin 90/32

⑯ Publication of the grant of the patent:  
22.12.93 Bulletin 93/51

⑯ Designated Contracting States:  
BE DE FR GB IT NL SE

⑯ References cited:  
EP-A- 0 119 688  
WO-A-83/00997  
JP-A- 5 789 859  
US-A- 3 868 956  
US-A- 4 503 564

⑯ Proprietor: TERUMO KABUSHIKI KAISHA  
No. 44-1, Hatagaya 2-chome,  
Shibuya-ku  
Tokyo 151(JP)

⑯ Inventor: HARADA, Fumiaki  
Terumo Kabushiki Kaisha, 2656-1  
Ohbuchi  
Fuji-shi Shizuoka-ken 417(JP)  
Inventor: ISHIDA, Toshinobu  
Terumo Kabushiki Kaisha, 2656-1  
Ohbuchi  
Fuji-shi Shizuoka-ken 417(JP)

⑯ Representative: Casalonga, Axel et al  
BUREAU D.A. CASALONGA - JOSSE  
Morassistrasse 8  
D-80469 München (DE)

EP 0 380 666 B1

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid (Art. 99(1) European patent convention).

## Description

The present invention relates to an inner diameter retaining instrument for keeping a desired inner diameter of a tubular organ lumen such as a blood vessel, a digestive tract, or a windpipe.

## Background Art

After a constricted portion of, e.g., a coronary artery is expanded by a blood vessel expanding catheter, in order to prevent recontraction of the expanded portion, an inner diameter retaining instrument (stent) for ensuring the inner diameter of the lumen of a tubular organ has been proposed.

A conventional inner diameter retaining instrument disclosed in Japanese Patent Publication (Kokoku) No. 61-6655 employs a unidirectional shape memory alloy. This alloy is formed into a tubular shape having an inner diameter substantially equal to an inner diameter of a normal blood vessel to memorize the shape. The alloy is then deformed to reduce its outer diameter so as to be easily inserted in the blood vessel. The resultant structure is then introduced to a desired position in the blood vessel. Thereafter, the structure is heated by warm water and is restored to the memorized shape.

The above conventional inner diameter retaining instrument consisting of a unidirectional shape memory alloy, however, cannot be deformed unless an external force is applied thereto once the instrument is restored to its memorized shape and is expanded. For this reason, even if an affected portion is restored after indwelling of the inner diameter retaining instrument, the instrument cannot be removed, thus posing a serious problem in terms of adaptability with a living body. In addition, even if it is found upon expansion of the inner diameter retaining instrument that the instrument is indwelled at a wrong position, it is very difficult to change the indwelling position.

US 4 503 569 discloses a transluminally placed expandable graft prosthesis including a tubular body made of a shape memory alloy. The tubular body is heated to recover its initial expanded configuration after it had been introduced in its indwelling position. This permits to firmly anchor the prosthesis in a body passageway but not to modify the position of the indwelling prosthesis or to recover it.

It is an object of the present invention to provide an instrument for retaining the inner diameter of a tubular organ lumen, which can be caused to freely contract even after it is expanded in a tubular organ, thereby enabling recovery from an indwelling position and arbitrary change in indwelling upon expansion.

The invention proposes an instrument for retaining a desired inner diameter of a tubular organ lumen, comprising a cylindrical member made of a unidirectional shape memory alloy whose transformation temperature is higher than the temperature of a living body in which the cylindrical member is to be placed. According to the invention the cylindrical member is radially expandable by an external force at said temperature of said living body; further, the outer diameter of said cylindrical member in its basic phase when heated to said transformation temperature, is smaller than the inner diameter of said tubular organ lumen.

As a shape of the cylindrical body, a coil-like shape, a shape having a spiral section, a shape having a slit in its longitudinal direction, a mesh-like shape, a fabric-like shape, and the like can be properly selected. As a unidirectional shape memory alloy, a Ti-Ni alloy, a Cu-Al-Ni alloy, a Cu-Zn-Al alloy, or the like can be used.

According to the present invention, a cylindrical body as an inner diameter retaining instrument is deformed to have a diameter smaller than the inner diameter of a tubular organ at a transformation temperature of a shape memory alloy constituting the cylindrical inner diameter retaining instrument or lower. The cylindrical body is then fitted on an end balloon or the like of, e.g., a tubular organ expanding catheter, and is introduced to a desired position in the tubular organ. Thereafter, the diameter of the cylindrical body is increased by the effect of an external force due to the expansion of the balloon or the like, thus retaining the inner diameter of the tubular organ. The balloon is then caused to contract to extract the catheter. Hence, the cylindrical body can be indwelled in the tubular organ.

When the indwelled inner diameter retaining instrument is to be recovered, or the indwelling position is to be changed, a catheter having a side hole formed in, e.g., its distal end portion is introduced to the indwelling position of the cylindrical body, and a solution of a temperature exceeding the transformation temperature of the memory shape alloy is supplied from the side hole. As a result, the temperature of the cylindrical body exceeds the transformation temperature, and the cylindrical body is restored to the memorized shape, i.e., reduced in diameter to be smaller than the inner diameter of the tubular organ. Thus, the cylindrical body can be moved by moving the catheter while the cylindrical body is fitted on, e.g., the distal end portion of the catheter. That is, according to the present invention, even if the instrument is expanded once in a tubular organ, it can be freely reduced in size. Therefore, recovery of the instrument from an indwelling position can be performed, and the indwelling position can be freely changed.

upon expansion of the instrument.

In the present invention, a unidirectional shape memory alloy means an alloy which causes thermoelectric martensite transformation and is deformed into a memorized shape in the basic phase at a reverse transformation temperature or higher. This alloy can be freely deformed at the transformation temperature or lower. After the temperature becomes the transformation temperature or higher and the alloy is restored to the memorized shape, the alloy maintains its memorized shape even if the temperature becomes the transformation temperature or lower unless an external force is applied.

In addition, as a shape memory alloy constituting the inner diameter retaining instrument of the present invention, a Ti-Ni alloy (composition: about 50 at% Ni, transformation temperature: 45°C) is, for example, suitably used.

Embodiment of the present invention will be described below with reference to the accompanying drawings, in which :

Figs. 1(A) and 1(B) are side views showing an inner diameter retaining instrument according to a first embodiment of the present invention; Figs. 2(A) and 2(B) are perspective views showing an inner diameter retaining instrument according to a second embodiment of the present invention; Figs. 3(A) and 3(B) are perspective views showing an inner diameter retaining instrument according to a third embodiment of the present invention; Figs. 4(A) and 4(B) are perspective views showing an inner diameter retaining instrument according to a fourth embodiment of the present invention; Figs. 5(A) and 5(B) are views each illustrating an indwelling state of the inner diameter retaining instrument; Fig. 5(C) is a view illustrating a recovery state of the inner diameter retaining instrument; Fig. 6 is a sectional view showing indwelling catheter; and Fig. 7 is a sectional view showing a recovery catheter.

#### Best Mode of Carrying Out the Invention

Embodiments of the present invention will be described below with reference to the accompanying drawings.

An inner diameter retaining instrument (to be referred to as a stent hereinafter) 10 shown in Figs. 1(A) and 1(B) is formed into a substantially cylindrical shape by a unidirectional shape memory alloy. In this case, the stent 10 has a coil-like shape. The diameter of the stent 10 in its basic phase is set to be smaller (see Fig. 1(A)) than the inner diameter of a tubular organ, i.e., a blood vessel 11 in this case (see Fig. 5(A)). Furthermore, in this case, the transformation temperature of the

shape memory alloy constituting the stent 10 is set to be higher than a body temperature so that the stent 10 is reduced in size in its radial direction at a temperature exceeding the body temperature. The stent 10 can be radially expanded by an external force (see Fig. 1(B)).

In addition to the coil-like stent 10 according to the first embodiment, a stent of the present invention broadly includes substantially cylindrical stents. In this case, a substantially cylindrical stent includes stents having outer surfaces which can expand a tubular organ lumen during expansion thereof and can maintain its expansion state.

More specifically, a stent 20 according to a second embodiment is constituted by a stent having a spiral section, which is changed from a contracted state shown in Fig. 2(A) to an expanded state shown in Fig. 2(B).

A stent 30 according to a third embodiment is constituted by a tubular stent having a slit formed in its longitudinal direction, which is changed from a contracted state shown in Fig. 3(A) to an expanded state shown in Fig. 3(B).

A stent 40 according to a fourth embodiment is constituted by a mesh-like stent which is changed from a contracted state shown in Fig. 4(A) to an expanded state shown in Fig. 4(B). End portions of the mesh-like stent are preferably welded or fixed by an adhesive to prevent shape memory alloy thin wires from becoming loose.

In order to indwell the stent of the present invention at a desired position in a tubular organ, an indwelling catheter 50 shown in, e.g., Fig. 6 is used. The indwelling catheter 50 comprises a balloon 51 at its distal end portion. The balloon 51 communicates with a sub-path 53 for liquid injection of the catheter 50 through a side hole 57. The catheter 50 has a main path 52 at its central portion. A guide wire 58 can be inserted in the main path 52 (see Figs. 5(A) and 5(B)). Therefore, the catheter 50 can be introduced to a desired portion of a tubular organ by inserting the guide wire 58, which has been inserted to the desired portion of the tubular organ in advance, into the main path. A balloon expanding solution is then injected in the sub-path 53 of the catheter 50 to expand the balloon 51. As a result, an external expanding force is applied to a contracted stent 10 (see Fig. 5(A)) which has been fitted on the outer surface of the balloon 51, and the stent 10 is expanded to be brought into contact with the inner wall of a tubular organ 11, as shown in Fig. 5(B).

A check valve 54 is arranged on a hub of the main path 52 so as to allow the guide wire to pass therethrough and prevent leakage of blood or the like. Reference numeral 55 denotes a liquid injection device; and 57, an exhausting sub-path communicating with the inner space of the balloon 51.

A three-way plug 56 or the like is arranged at the proximal end portion of the path 57.

In order to recover (or change an indwelling position of) the stent of the present invention after indwelling thereof, a recovery catheter 60, for example, shown in Fig. 7 is used. The recovery catheter 60 comprises a side hole 61 communicating with a main path 62 at its distal end portion. The catheter 60 is guided to a stent indwelling portion in a tubular organ by a guide wire (denoted by reference numeral 67 in Fig. 5(C)) inserted in the main path 62. A stent heating liquid is then supplied into the main path 62 through a sub-path 63 for liquid injection. The liquid is flowed out from the side hole 61 to heat the expanded stent located around the side hole 61 to the transformation temperature or higher so as to restore the memorized shape in the basic phase, i.e., be reduced in size. A check valve 64 is arranged on a hub of the main path 62 so as to allow the guide wire to pass therethrough and prevent the leakage of blood or the like. A three-way plug 66 for injecting a stent heating liquid is arranged on a hub of the sub-path 63.

Functions of the above-described stent 10 will be described below.

According to the stent 10, the stent 10 is deformed to have a diameter smaller than the inner diameter of the blood vessel 11 at the transformation temperature of the shape memory alloy or lower. The stent 10 is then fitted on the balloon 51 attached to the distal end portion of the indwelling catheter 50, and the resultant structure is introduced to a desired position of the blood vessel 11, as shown in Fig. 5(A). Thereafter, the stent 10 is expanded by the effect of an external force derived from the expansion of the balloon 51 and is indwelled in the blood vessel 11, thus retaining the inner diameter thereof, as shown in Fig. 5(B).

When the above stent 10 is to be recovered, or its indwelling position is to be changed, a recovery catheter 60 having a side hole 61 at its distal end is introduced into the indwelling position of the stent 10, as shown in Fig. 5(C). Thereafter, a liquid at the transformation temperature of the stent 10 or higher is supplied through the side hole 61 so as to increase the temperature of the stent 10 to the transformation temperature or higher, thereby restoring the memorized shape, i.e., reducing the diameter of the stent 10 to be smaller than the inner diameter of the blood vessel 11. As a result, the stent 10 can be moved upon movement of the catheter 60 while the stent 10 is wound around the distal end of the catheter 60.

That is, according to the above-described stent 10, even if the stent 10 is expanded in the blood vessel 11 once, it can be freely contracted again. Therefore, recovery of the stent 10 from its indwell-

ing position can be performed, and the indwelling position after expansion of the stent 10 can be freely changed.

An example of the present invention will be described below.

5 A mesh-like cylindrical body having an outer diameter of 1.5 mm was made of an Ni-Ti shape memory alloy wire having a diameter of 0.1 mm. The Ni-Ti shape memory alloy wire had a composition allowing restoration of a memorized shape at a temperature near 45°C after it was deformed upon application of an external force. When this cylindrical body was attached to a balloon having an expansion diameter of 3.0 mm of a PTCA expanding catheter and the balloon was expanded, the cylindrical body could be indwelled in the lumen of a silicone tube having an inner diameter of 2.55 mm. Thereafter, a catheter (outer diameter: 1.8 mm) having a side hole at its distal end portion was inserted in the cylindrical body to position the side hole at the indwelling position of the cylindrical body. When warm water at 45°C was then flowed out from the side hole, the cylindrical body was wound around the distal end portion of the catheter, and could be recovered.

#### Industrial Applicability

30 The inner diameter retaining instrument of the present invention can be effectively used to expand a constricted portion of a tubular organ lumen such as a blood vessel, a digestive tract, or a windpipe and to ensure its inner diameter.

#### Claims

1. An instrument for retaining a desired inner diameter of a tubular organ lumen (11), comprising a cylindrical member (10;20;30;40) made of a unidirectional shape memory alloy whose transformation temperature is higher than the temperature of a living body in which the cylindrical member is to be placed, characterized in that said cylindrical (10;20;30;40) member is radially expandable by an external force at said temperature of said living body, and in that the outer diameter of said cylindrical member in its basic phase when heated to said transformation temperature, is smaller than the inner diameter of said tubular organ lumen (11).
2. An instrument according to claim 1, wherein said cylindrical body comprises a coil-like body (10).
3. An instrument according to claim 1, wherein said cylindrical body comprises a body (20)

having a spiral section.

4. An instrument according to claim 1, wherein said cylindrical body comprises a tubular body (30) having slit in a longitudinal direction thereof. 5

5. An instrument according to claim 1, wherein said cylindrical body comprises a mesh-like body (40). 10

6. An instrument according to claim 1 or 5, wherein said cylindrical body is constituted by a fabric made of a shape memory alloy thin wire. 15

7. An instrument according to any one of the preceding claims, wherein said unidirectional shape memory alloy consists of an alloy selected from the group consisting of Ti-Ni, Cu-Al-Ni, and Cu-Zn-Al alloys. 20

8. Use of an instrument according to any one of the preceding claims for keeping the desired inner diameter of a tubular organ lumen (11), wherein the instrument (10) is introduced in said tubular organ lumen in its basic phase with a diameter smaller than the desired inner diameter, plastic deformation of the instrument is performed by an external force applied to said cylindrical member so as to expand it radially at said desired inner diameter; 25  
and afterwards, when the position of the indwelled instrument is to be changed or the instrument is to be recovered, heat is applied to said cylindrical member (10), so as to exceed the transformation temperature, the basic phase of the unidirectional shape memory alloy being thus restored. 30  
35  
40

#### Patentansprüche

1. Instrument zum Aufrechterhalten eines gewünschten Innendurchmessers des Lumens eines rohr- oder schlauchförmigen Organs (11), umfassend ein Zylinderelement (10; 20; 30; 40) aus einer unidirektionalen Formgedächtnislegierung, deren Umwandlungstemperatur höher ist als die Temperatur eines lebenden Körpers, in welchem das Zylinderelement zu plazieren ist, dadurch gekennzeichnet, daß das Zylinderelement (10; 20; 30; 40) durch eine externe (von außen einwirkende) Kraft bei der Temperatur des lebenden Körpers radial aufweiterbar ist und daß der Außendurchmesser des Zylinderelements in seiner Grundphase bei Erwärmung auf die Umwandlungstemperatur kleiner ist als der Innendurchmesser des Lumens des 45  
50  
55

schlauchförmigen Organs (11).

2. Instrument nach Anspruch 1, wobei der Zylinderkörper ein wendelförmiger Körper (10) ist.

3. Instrument nach Anspruch 1, wobei der Zylinderkörper ein Körper (20) mit einem spiralförmigen Bereich ist.

4. Instrument nach Anspruch 1, wobei der Zylinderkörper ein rohrförmiger Körper (30) mit einem in seiner Längsrichtung verlaufenden Schlitz ist.

5. Instrument nach Anspruch 1, wobei der Zylinderkörper aus einem maschengitterartigen Körper (40) besteht.

6. Instrument nach Anspruch 1 oder 5, wobei der Zylinderkörper durch ein Gewebe aus einem dünnen Draht einer Formgedächtnislegierung gebildet ist.

7. Instrument nach einem der vorangehenden Ansprüche, wobei die unidirektionale Formgedächtnislegierung aus einer Legierung aus der Gruppe Ti-Ni-, Cu-Al-Ni- und Cu-Zn-Al-Legierungen besteht.

8. Verwendung eines Instruments nach einem der vorangehenden Ansprüche für die Aufrechterhaltung des gewünschten Innendurchmessers des Lumens eines rohr- oder schlauchförmigen Organs (11), wobei das Instrument (10) in seiner Grundphase mit einem Durchmesser, der kleiner ist als der gewünschte Innendurchmesser, in das Lumen des schlauchförmigen Organs eingeführt wird, eine plastische Verformung des Instruments durch eine auf das Zylinderelement ausgeübte externe Kraft herbeigeführt wird, um es radial am bzw. auf den gewünschten Innendurchmesser aufzuweiten, und anschließend, wenn die Position des implantierten Instruments geändert oder das Instrument herausgeholt werden soll, das Zylinderelement (10) mit Wärme beaufschlagt wird, um die Umwandlungstemperatur zu überschreiten und damit die Grundphase der unidirektionalen Formgedächtnislegierung rückzubilden.

#### Revendications

1. Instrument pour conserver un diamètre intérieur souhaité à la lumière (11) d'un organ tubulaire, comprenant un élément cylindrique (10 ; 20 ; 30 ; 40) fait d'un alliage à mémoire de forme unidirectionnelle dont la températur

de transformation est supérieur à la température d'un corps vivant dans lequel doit être placé l'élément cylindrique, caractérisé en ce que ledit élément cylindrique (10 ; 20 ; 30 ; 40) peut être étiré radialement par une force extérieure, à ladite température dudit corps vivant, et en ce que le diamètre extérieur dudit élément cylindrique, dans sa phase de base où il est chauffé à ladite température de transformation, est plus petit que le diamètre intérieur de la lumière (11) dudit organe tubulaire.

2. Instrument selon la revendication 1, dans lequel ledit corps cylindrique est un corps (10) hélicoïdal. 5

3. Instrument selon la revendication 1, dans lequel ledit corps cylindrique est un corps (20) ayant une section en spirale. 10

4. Instrument selon la revendication 1, dans lequel ledit corps cylindrique est un corps tubulaire (30) ayant une fente dans sa direction longitudinale. 15

5. Instrument selon la revendication 1, dans lequel ledit corps cylindrique est un corps (40) à mailles. 20

6. Instrument selon la revendication 1 ou 5, dans lequel ledit corps cylindrique est constitué par un tissu fait d'un mince fil en alliage à mémoire de forme. 25

7. Instrument selon l'une quelconque des précédentes revendications, dans lequel ledit alliage à mémoire de forme unidirectionnelle consiste en un alliage choisi dans le groupe formé par les alliages Ti-Ni, Cu-Al-Ni, et Cu-Zn-Al. 30

8. Utilisation d'un instrument conforme à l'une quelconque des précédentes revendications destiné à maintenir le diamètre intérieur souhaité de la lumière (11) d'un organe tubulaire, dans laquelle l'instrument (10) est introduit dans la lumière dudit organe tubulaire dans sa phase de base avec un diamètre plus petit que le diamètre intérieur souhaité, une déformation plastique de l'instrument est réalisée par application d'une force extérieure audit élément cylindrique pour l'étirer radialement jusqu'audit diamètre intérieur souhaité, puis ensuite, quand la position de l'instrument laissé dans l'organe doit être modifiée ou lorsque l'instrument doit être récupéré, de la chaleur est appliquée audit élément cylindrique (10) afin de dépasser la température de transformation, la phase de base de l'alliage à mémoire de forme unidirectionnelle étant de ce fait rétablie. 35

40

45

50

55



Fig.1A.



Fig.1B.

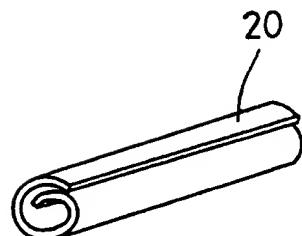


Fig.2A.

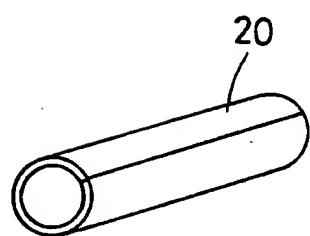


Fig.2B.

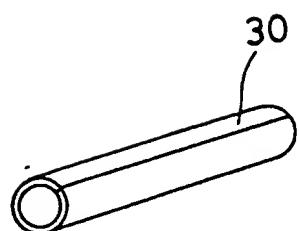


Fig. 3A.

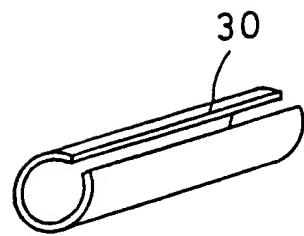


Fig. 3B.

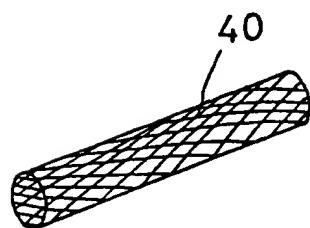


Fig. 4A.

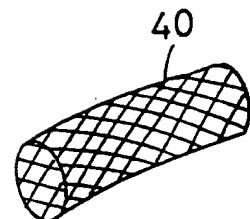


Fig. 4B.

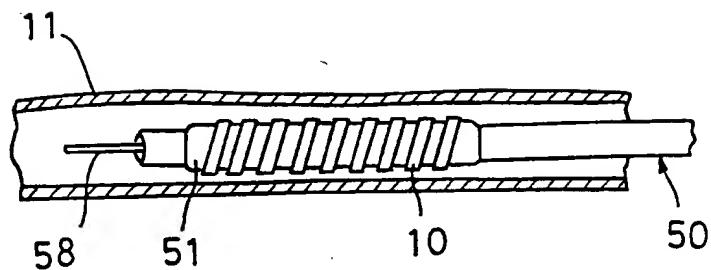


Fig. 5A.

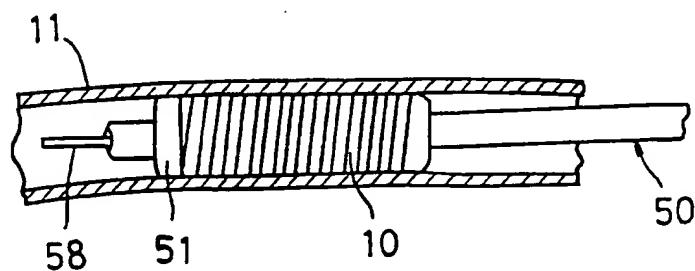


Fig. 5B.

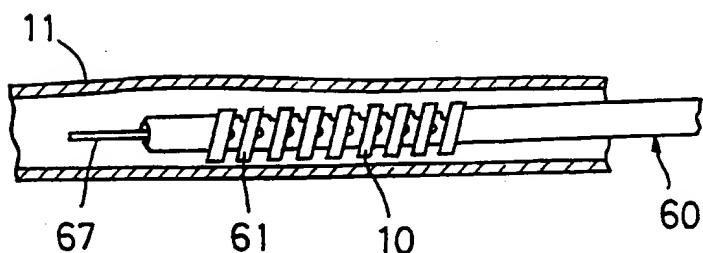


Fig. 5C.

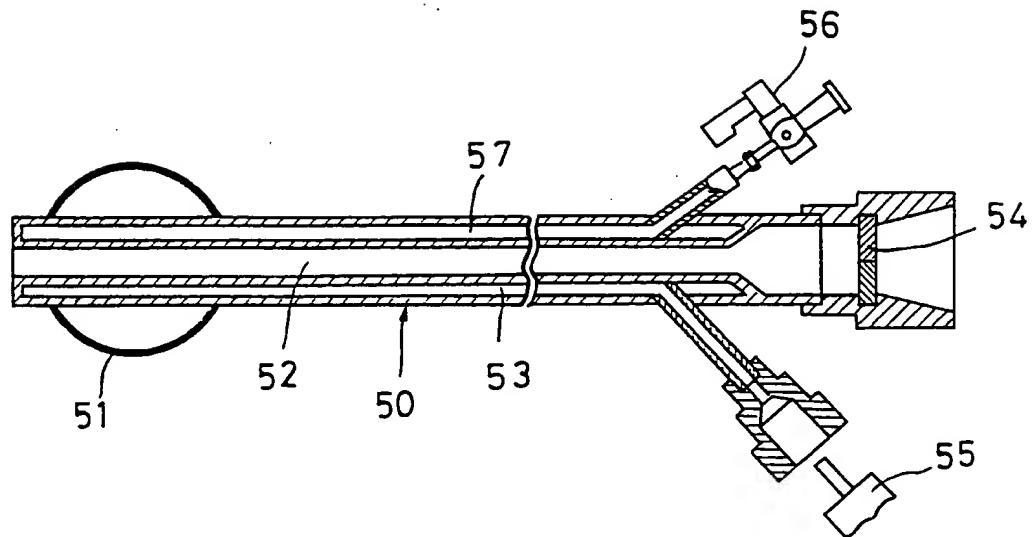


Fig. 6.

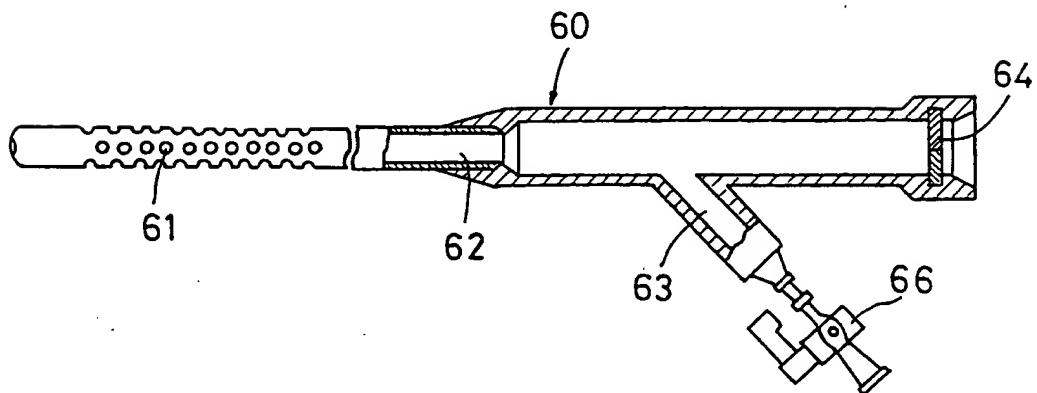


Fig. 7.